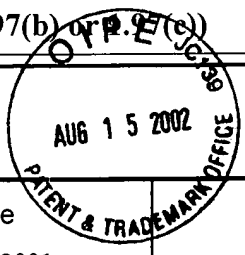
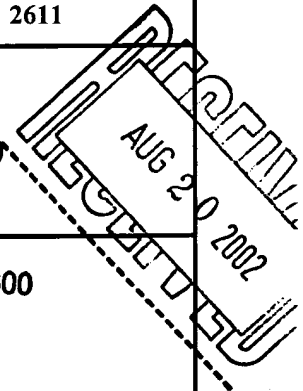


261#4
112
8/21/02

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 3698D8	
In Re Application Of: John Hendricks				
Serial No. 09/966,757	Filing Date October 1, 2001	Examiner N/A	Group Art Unit 2611	
Title: Television Delivery System Having Interactive Electronic Program Guide				
Address to: Assistant Commissioner for Patents Washington, D.C. 20231 Technology Center 2600				
<p style="text-align: center;">37 CFR 1.97(b)</p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center;">37 CFR 1.97(c)</p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p style="margin-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center;">OR</p> <p style="margin-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>				



RECEIVED
AUG 19 2002



EA

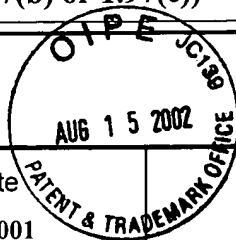
TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

3698D8

In Re Application: John Hendricks



Serial No.

09/966,757

Filing Date

October 1, 2001

Examiner

N/A

Group Art Unit

2611

Television Delivery System Having Interactive Electronic Program Guide

RECEIVED

AUG 19 2002

Technology Center 2600

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1425 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Dated: August 15, 2002

Sung Kim

Reg. No. 45,398

DORSEY & WHITNEY LLP

1660 International Drive

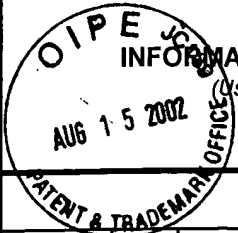
Suite 400

McLean, VA 22102

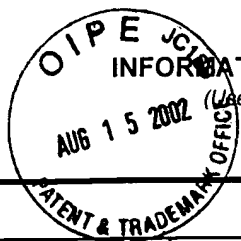
(703) 288-5240

(703) 288-5260 facsimile

CC:

 <p>INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)</p>				ATTY DOCKET NO. 3698D8		SERIAL NO. 09,966,757	
				John Hendricks			
				FILING October 1, 2001		GROUP 2611	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	5,416,508	5/16/1995	Kazushi Sakuma, et al.	725	139	
	2.	5,353,121	10/4/1994	Patrick Young, et al.	725	52	RECEIVED
	3.	5,404,393	4/4/1995	Roger Remillard	379	93.25	AUG 19 2002
	4.	5,223,924	6/29/1993	Hugo Stubbe	725	Technology Center	2600
	5.	5,479,268	12/26/1995	Patrick Young, et al.	386	83	
	6.	5,561,708	10/1/1996	Roger Remillard	379	93.19	
	7.	5,561,709	10/1/1996	Roger Remillard	379	93.19	
	8.	5,663,757	9/2/1997	Fernando Morales	725	5	
	9.	5,990,927	11/23/1999	John Hendricks, et al.	725	132	
	10.	5,477,262	12/19/1995	Robert Baker, et al.	725	38	
	11.	5,850,218	12/15/1998	Mike LaJoie, et al.	725	45	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	1.	WO 97/13368	4/10/1997	WIPO			
	2.	WO 94/14282	6/23/1994	WIPO			
	3.	WO 94/13107	6/9/1994	WIPO			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER				DATE CONSIDERED			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DOCKET NO.
3698D8SERIAL NO.
09,966,757

John Hendricks

FILING
October 1, 2001GROUP
2611

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	12.	5,212,553	5/18/1993	Kazuhisa Maruoka	725	68	
	13.	5,479,266	12/26/1995	Patrick Young, et al.	386	83	RECEIVED
	14.	6,025,837	2/15/2000	Joseph Matthews III, et al.	345	721	AUG 19 2002
	15.	5,940,073	8/17/1999	Brian Klosterman, et al.	345	721	Technology Center 2600
	16.	5,808,608	9/15/1998	Patrick Young, et al.	725	139	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.